

Privacy policy

PERSONAL DATA MANAGER

Type of treatment	The data controller	Contact Details
Investment services	Gottex Securities AB (org. nr. 556951-3376)	Gottex Securities AB Kungsgatan 33 11156 Stockholm Tel. +46 851 25 8575 glim.wersall@gottexbrokers.com

COLLECTION

Personal data relating to you as a customer or as a representative of a legal person who is a customer of ours is treated in order for us to perform our agreement and other commitments to you and / or a legal person who is a customer and provide a good customer service. In addition, we are required by law to document our customer relationships and must therefore process personal information about our customers. In order to manage our accounting and financial reporting, we also need to process certain personal data. Finally, we process personal information to contact potential and existing customers, including for marketing purposes, and to develop our systems, products, and our offer to customers.

HOW PERSONAL DATA ARE PROTECTED

We carry out a very ambitious information security work. This means, among other things, that we have strong technical solutions that protect all our information, such as firewalls and intrusion protection. In addition, we work with competence management, which means that personal data is not accessible to more of our employees than is necessary for each employee to be able to perform his / her work. Finally, we make at least equal demands on the suppliers who process personal data for us

YOUR RIGHTS

When we process personal information about you, you have certain rights that you can use. If you are unsure whether we process information about you, you are also entitled to receive information if we do or not. Then turn to your contact person or by mail to glim.wersall@gottexbrokers.com or call us on 08 51 25 8575.

Your rights are ;

Right of access	You are entitled to find out if we process personal information about you. If we do, you also have the right to extract an extract from the personal data. The right also includes additional information about how we treat your personal data.
Right to rectification	If we were to deal with incorrect or incomplete personal data about you, you have the right to have this information corrected.
Right to erasure and limitation	Under certain circumstances, you are entitled to receive the information we process about you deleted. This applies, for example, if we have no legal basis for processing the data or if the data is no longer required in our operations. Instead of requesting that we delete the information, you may request that we limit our treatment of them. This means we save the data but limit the processing to passive storage.
Right to object	If we use your personal information to target marketing to you, you are entitled to object to this treatment. In that case, we will stop processing your information for marketing purposes. You may also object to the treatment if performed on the basis of a legitimate interest.
Right to data portability	If we process personal information about you to implement an agreement that we have with you or with your consent, you are entitled to so-called data portability regarding the data. This means that you are entitled to require us to provide you with the information in an electronic format. You can also request that we send the data directly to another data controller.

WHAT PERSONAL DATA ARE PROCESSED

Investment services

Client	
What kind of personal data do we process?	The information we process about you is your name, phone number, address, email address, social security number, information about whether you are in a politically exposed position, financial information and account information.
Where do the data come from?	We collect the information directly from you or the company you represent and financial information from external custodians if you have authorized us to obtain it.
What is the purpose of processing data?	The purpose of the processing is to provide investment services and to comply with the legal requirements for documentation that applies to our business.
Required or optional?	The information we have about you is required, p. a. in the light of the laws and regulations under which the business is subject to so we can conduct investment services, legislation that has amongst other as its purpose to strengthen the protection against you as a customer.
What is our legal basis / legitimate interest?	The legal basis for processing is the implementation of the agreement we have with you and our legal obligation to document customer relationships and the services we perform.
Who are recipients of personal data, country?	The information we process about you can be sent to the Tax Office, the Financial Supervisory Authority, issuers, financial instruments marketplaces and the depository banks where you have deposited. The recipients are in Sweden or within the EU / EEA.
How long are the data stored?	We do not retain personal data longer than necessary in relation to the purposes for which they were collected, in accordance with data protection legislation. We retain your personal data for as long as necessary in view of our agreement and the

**Are automated
decisions taken?**

time within which claims for damages arising from the investment services can be made.

We make no automated decisions based on your personal information.

SUPERVISION

For the supervision of personal data processing in Sweden, the Integrity Authority is available via www.datainspektionen.se.